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| TRANSMITTAL LETTER | | | Attorney Docket Number: PA96-38D13 | | | | |
|--|---|----------------------------|--|-----------------------------------|--------------------|-------------------------|--|
| Application Number: 10/747,795 | | Filing Date: 12/29/2003 | | Examiner: Peter B. Kim | | Group Art Unit: 2851 | |
| Invention: IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT MARKS | | | | | | | |
| TO THE COMMISSIONER FOR PATENTS: | | | | | | | |
| Transmitted herewith are the following documents in the above-identified application. The fee has been calculated as shown below. | | | | | | | |
| <div><input type="checkbox"/> Amendment (page(s)), <input type="checkbox"/> Petition is hereby made under 37 CFR 1.136(a) to extend the time for response to the Office Action of to and through , comprising an extension of the shortened statutory period of: month(s) (page(s)), <input checked="" type="checkbox"/> Information Disclosure Statement (6 page(s)), including Transmittal (2 page(s)), PTO Form 1449 (4 page(s)), and copies of 10 cited reference(s) <input checked="" type="checkbox"/> Return Receipt Postcard</div> | | | | | | | |
| CLAIMS AS AMENDED | | | | | | | |
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | NO. OF EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE | |
| TOTAL CLAIMS | n/a | MINUS | | | \$18.00 | \$ 0 | |
| INDEP. CLAIMS | n/a | MINUS | | | \$86.00 | \$0 | |
| Petition for Extension of Time | | | | | (1 mo. - \$110.00) | \$ 0 | |
| Submission of IDS | | | | | | \$180.00 | |
| TOTAL ADDITIONAL FEE | | | | | | \$ 180.00 | |
| <div><input type="checkbox"/> Applicant claims small entity status under 37 CFR 1.27 <input type="checkbox"/> A check in the amount of \$ is attached. <input checked="" type="checkbox"/> Charge \$180.00 to deposit account number 502650 and credit any overpayment or debit any underpayment to the same deposit account 502650. <input checked="" type="checkbox"/> No additional fee is required, but if any fees are incurred regarding this matter kindly charge deposit account number 502650.</div> | | | | | | | |
| 9/13/04 date | | | <div> Kenneth C. Brooks Reg. No. 38393 Legal Department Molecular Imprints, Inc. P.O. Box 81536 Austin, Texas 78708-1536 Telephone: 512-339-7760, ext. 243 Facsimile: 512-491-8918</div> | | | | |
| <div><p align="center">CERTIFICATE OF TRANSMISSION/MAILING</p><p>I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents on</p><div><u>9-13-04</u> Katrina Prati</div></div> | | | | | | | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sreenivasan et al.
Serial No.: 10/747,795 GPAU: 2851
Filing Date: 12/29/2003 Examiner: Peter B. Kim
Dkt. No.: PA96-38D13 Conf. No.: 6437
For: IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT MARKS

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure and pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98. The undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449
☐ Other:

to the Examiner's attention in the above-identified application. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

FEES DUE

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.

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- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ during the period specified in § 1.97(c). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal.
- ☒ during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

CERTIFICATE OF TRANSMISSION

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Signed: *Katrina Prati*

Typed Name: Katrina Prati

Date: 9-13-04

Respectfully Submitted,



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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

Of

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Complete if Known

| | |
|------------------------|--------------------|
| Application Number | 10/747,795 |
| Filing Date | 12/29/2003 |
| First Named Inventor | Sreenivasan et al. |
| Group Art Unit | 2851 |
| Examiner Name | Kim, Peter B. |
| Attorney Docket Number | PA96-38D13 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Signature | | | | | Date Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Signature**

Date
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| Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | | | Application Number | 10/747,795 |
| | | | | Filing Date | 12/29/2003 |
| | | | | First Named Inventor | Sreenivasan et al. |
| | | | | Group Art Unit | 2851 |
| | | | | Examiner Name | Kim, Peter B. |
| Sheet | 3 | of | 4 | Attorney Docket Number | PA96-38D13 |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|----------|---|----------------|
| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Examiner Signature | | Date Considered | |
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